

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT  
09/583,406

278743  
2188

In re application of: : Group Art Unit:  
Heather Hinton et al. :  
Serial No: 09/583,406 : Intellectual Property  
Filed: 5/31/00 : Law Department  
Title: AUTHENTICATION AND : International Business  
AUTORIZATION PROTOCOL FOR : Machines Corporation  
SECURE WEB-BASED ACCESS TO A : 11400 Burnet Road  
PROTECTED RESOURCE : Austin, Texas 78758

RECEIVED  
TECH CENTER 2700  
NOV 15 2001

November 6, 2000

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D. C. 20231 on November 6, 2000.

Martha A. Acosta

Signature

Date

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents  
Washington, D. C. 20231  
Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to

constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

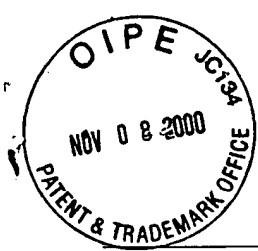
A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,



Jeffrey S. LaBaw  
Attorney  
Registration No. 31,633  
(512) 823-0494

JSL:ma



In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

Serial No. 09/583,406  
Applicant: Heather Hinton et al.  
Filing Date: 5/31/2000  
Group: \_\_\_\_\_  
Atty. Docket No. AT9-1999-0922 US1

Reference Designation		<u>U. S. PATENT DOCUMENTS</u>				Filing Date		
Examiner	Document	Initial	Number	Date	Name	Class	Subclass	Appropriate
HS	AA	5,963,915	10/05/99	Kirsch				
HS	AB	5,875,296	02/23/99	Shi et al.				
HS	AC	5,908,469	06/01/99	Botz et al.				
HS	AD	5,884,024	03/16/99	Lim et al.				
HS	AE	5,535,276	07/09/96	Ganesan				
AS	AF	5,864,665	01/26/99	Tran				

<u>FOREIGN PATENT DOCUMENTS</u>								
Examiner	Document	Initial	Number	Date	Country	Class	Subclass	Translation
HS			11-98134	03/9/99	Japan			
HS			99/00960	01/7/99	WO			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial

- HS AS RD 06-98 n410116 p800: "Internet based secure transactions using encrypting applets and cgi-scripts independent of browser or server capabilities"  
 HS AT 11-098134, 4/9/99- Method For Detecting Fraudulent Alteration and Copy of "Cookie" and program storage medium  
 HS AU IEEE No. 96CB35924: Limitations on design principles for public key protocols, May 1996  
 HS AV IEEE No. 96CB35924:Limitations on design principles for public key protocols May 1996

Examiner:

Date Considered:

04/07/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.